Now you see it, now you don't: What the specificity of two linguistic illusions can tell us about sentence processing

Hanna Muller

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- Even when they know there will be errors in some questions
- Even when they know their job is to catch the substitutions (they're not just being polite!)
- Even when they know the trivia facts that they would need to know to catch the substitution

How many animals of each kind did Noah bring on the ark?

The basic phenomenon = The Moses Illusion

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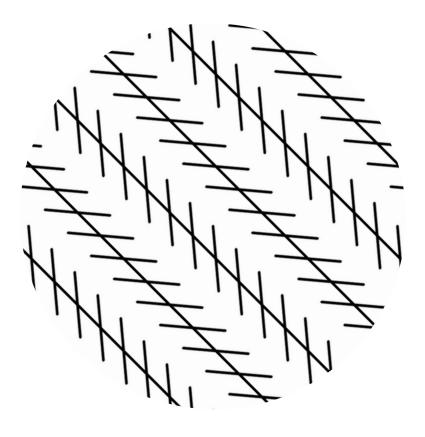
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Illusions



Zöllner, 1860 27

The plan for today

- 1. Intro to linguistic illusions
- 2. Selective failures: the view from NPI illusions
 - a. NPI illusion basics
 - b. The licensor effect
 - c. The distance effect
- 3. How can we "turn off" the Moses illusion?
 - a. Item-wise variability
 - b. Correlates of vulnerability
 - c. Next steps

NPI illusions





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- (1) a. I don't have a dog.
 - b. I have a dog.

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- (1) a. I don't have a dog.
 - b. I have a dog.

Other words are more restricted, e.g. ever

(2) a. I haven't ever had a dog.

Most words can occur in positive or negative contexts, e.g. *dog*

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- (2) a. I haven't ever had a dog.
 - b. * I have ever had a dog.

Most words can occur in positive or negative contexts, e.g. *dog*

(1) a. I don't have a dog.

b. I have a dog.

Other words are more restricted, e.g. *any*

(2) a. I don't have any dogs.

b. * I have any dogs.

Most words can occur in positive or negative contexts, e.g. *dog*

(1) a. I don't have a dog.

b. I have a dog.

Other words/phrases are more restricted, e.g. *in years*

(2) a. I haven't adopted a dog in years.

b. * I have adopted a dog in years.

Most words can occur in positive or negative contexts, e.g. dog

- (1) a. I don't have a dog.
 - b. I have a dog.

Other words/phrases are more restricted, e.g. *lift a finger*

- (2) a. I cleaned the house all afternoon and my dog didn't lift a finger.
 - b. * I cleaned the house all afternoon and my dog lifted a finger.

NPI = Negative Polarity Item, e.g. *ever, any, lift a finger, give a damn, in years*

NPIs' defining characteristic is their **limited distribution**:

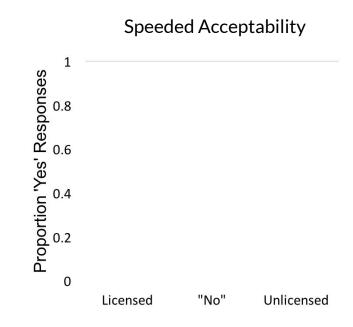
- (1) We **haven't** left the house *in months*.
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NPIs must occur in the scope of the licensor

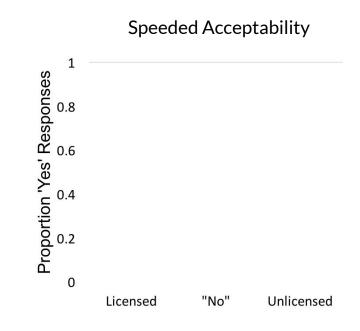
(3) I **don't** think the students [that read the paper] have thought about it *in years*.

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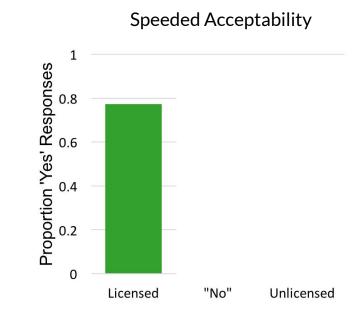
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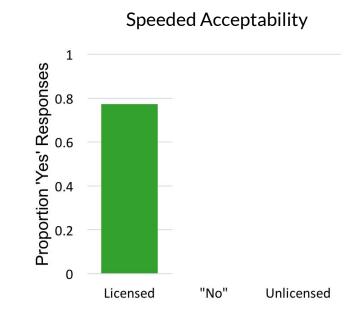


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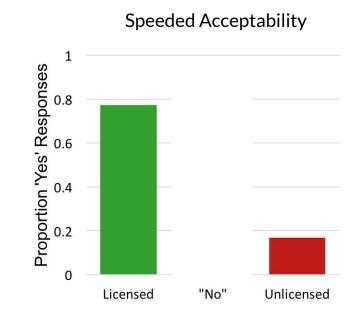
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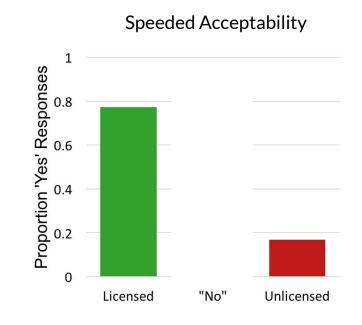
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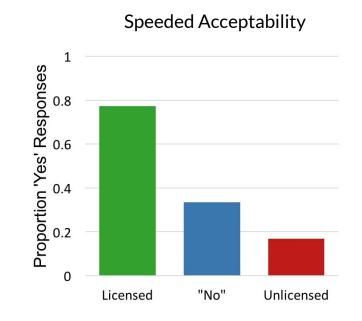
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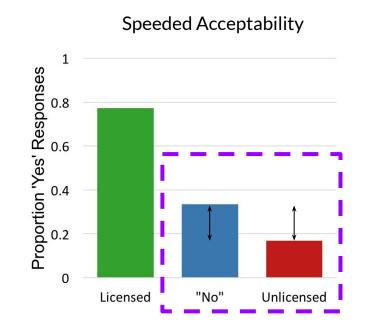


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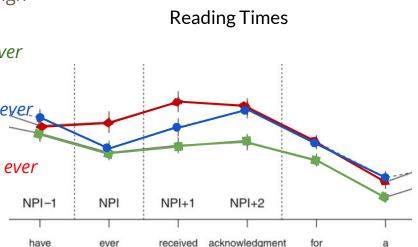
German: Drenhaus et al. 2005; *English*: de-Dios-Flores et al. 2017; Hildebrandt & Husband 2019; Muller et al. 2019; Orth et al. 2020a; Parker & Phillips 2016; Xiang et al. 2006; *Korean*: Yun et al. 2018 ⁴⁸

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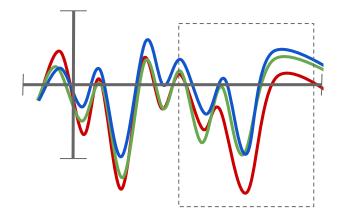
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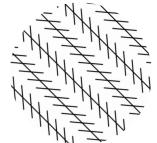
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ERPs



What is it about our *normal* processing of NPI-containing sentences that leads us astray in precisely the contexts where we get illusions?

NPI background: a reminder

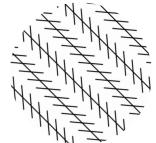
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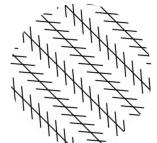
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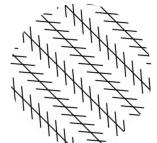


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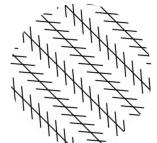
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No authors



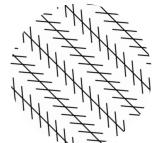
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NP +negative +subject +structure-feature No authors



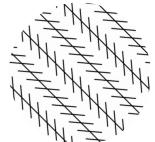
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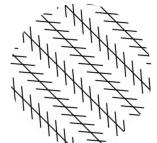
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| NP | |
|--------------------|------------|
| +negative | Comp- |
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| +structure-feature | +feature |
| No authors | that |



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| NP | | | | |
|-----------------------|--------------------------------|-----------|-------------|-----------------|
| +negative +subject | Comp- lementize +feature | +subject | V +past | AUX +feature |
| +structure-feature | | +definite | recommended | have |



What is it about our *normal* processing of NPI-containing sentences that leads us astray in precisely the contexts where we get illusions?

 NP
 Relative clause

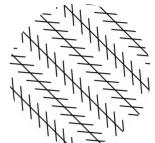
 +negative
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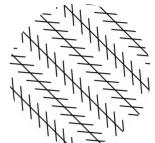
 AUX

 +feature

 +the critics

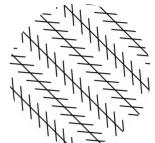
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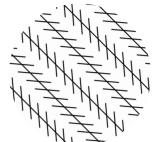
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NP +negative NP AUX V +subject +feature +subject +past +feature +structure-feature +definite No authors that the critics recommended have ever SEARCH: +negative +structure-feature



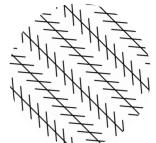
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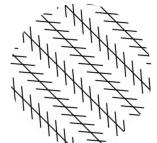
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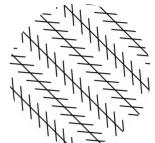
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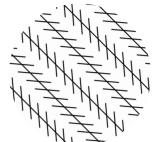
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NP +negative +subject +structure-feature NP +subject +feature NP +subject +definite NP +subject +definite NP recommended have ever written



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NP -negative +structure-feature The authors that no critics V +past recommended AUX +feature have ever SEARCH: +negative +structure-feature SEARCH: +negative +structure-feature



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NP -negative +structure-feature The authors that no critics Comp-Image Heative -structure-feature recommended AUX +past AUX +feature have ever SEARCH: +negative +structure-feature SEARCH: +negative +structure-feature

Vasishth et al. 2008 68

(1) **No** authors [that the critics recommended] have *ever* written a best-selling novel.

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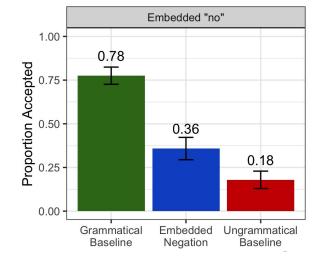
(2b) *The authors [that the critics **haven't** recommended] have *ever* written a best-selling novel.

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de-Dios-Flores et al. 2017; Orth et al. 2020a 72

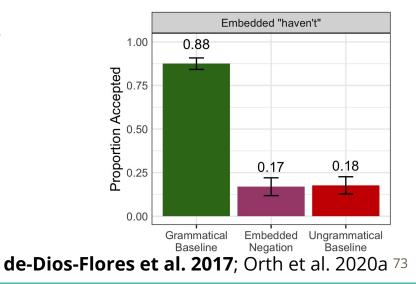
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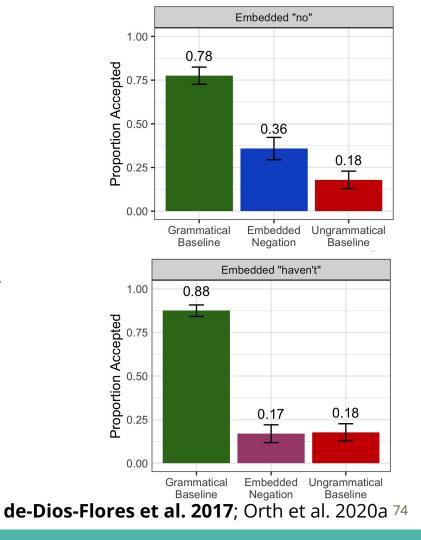
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Mary hasn't ever been to Paris.

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Option 2: you need to know if the NPI-containing clause is stronger than its pragmatic alternatives

Mary hasn't been to Paris in the last month. Mary hasn't been to Paris in the last year. Mary hasn't been to Paris in the last 5 years. Mary hasn't been to Paris in the last 10 years. Mary hasn't ever been to Paris.

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Prior to the NPI

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negation!

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Wason, 1961; Gough, 1965; Wales & Grieve, 1969; Cornish and Wason, 1970; Trabasso & Rollins, 1971; Clark & Chase, 1972; Carpenter & Just, 1975; Fischler et al., 1983; Oaksford & Stenning, 1992; Evans et al., 1996; Hasson & Glucksberg, 2006; Prado & Noveck, 2006; Kaup et al., 2007; Lüdtke et al., 2008; Mckinstry et al., 2008; Dale & Duran, 2011

(2a) The authors [that no critics recommended] have... negation!

The authors [that many critics recommended] have... The authors [that some critics recommended] have... The authors [that few critics recommended] have...

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Stephenie Harper Lee Meyer E.L. James J.D. Salinger J.K. Rowling Ernest William Hemingway Faulkner

The authors [that many critics recommended] have...
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negation!

William Faulkner Ernest Hemingway Harper Lee J.D. Salinger J.K. Rowling Stephenie Meyer E.L. James

The authors [that many critics recommended] have... The authors [that some critics recommended] have... The authors [that few critics recommended] have... (2a) The authors [that no critics recommended] have...

negation!

(3a) The authors [that the critics and recommend] have... negation! Milliam FaulknerErnest HemingwayHarper LeeJ.D. SalingerJ.K. RowlingStephenie MeyerE.L. James

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(3a) The authors [that the critics recommended] have...(3a) The authors [that the critics pick recommend] have...negation!

Milliam FaulknerErnest HemingwayHarper LeeJ.D. SalingerJ.K. RowlingStephenie MeyerE.L. James

Prior to the NPI - processing negation William Faulkner recommendability **Ernest Hemingway** The authors [that some critics recommended] have... Harper Lee The authors [that few critics recommended] have... J.D. Salinger The authors [that no critics recommended] have... (2a) J.K. Rowling negation! Stephenie Meyer E.L. James The authors [that the critics recommended] have... The authors [that the critics **didn't** recommend] have... (3a) recommended not recommended negation! William Stephenie

Faulkner

Ernest

Hemingway

Meyer

E.L. James

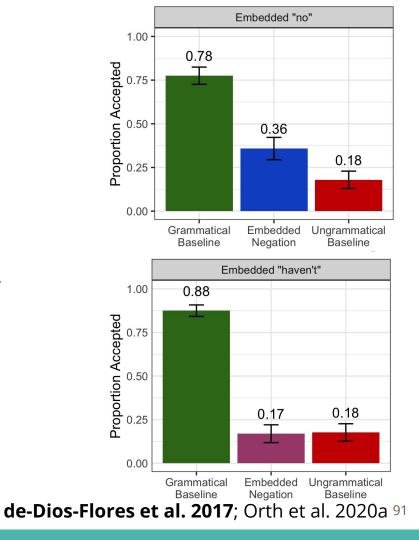
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What causes the NPI illusion?

What is it about our *normal* processing of NPI-containing sentences that leads us astray in precisely the contexts where we get illusions?

Under a licensing-by-alternatives hypothesis:

(5) No authors [that the critics recommended] have *ever* written a best-selling novel.

(6) *The authors [*that no critics recommended*] have *ever* written a best-selling novel.

(7) *The authors [that the critics recommended] have *ever* written a best-selling novel.

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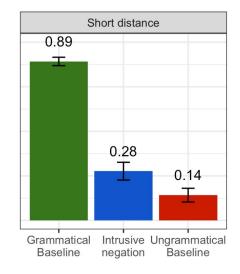
Speeded Acceptability

(8) No surgeons [that the patients trusted] have ever prescribed new experimental medications.
(9) The surgeons [that no patients trusted] have ever prescribed new experimental medications.
(10) The surgeons [that the patients trusted] have ever prescribed new experimental medications.

(8) **No** surgeons [that the patients trusted] have ever prescribed new experimental medications.

(9) The surgeons [that **no** patients trusted] have **ever** prescribed new experimental medications.

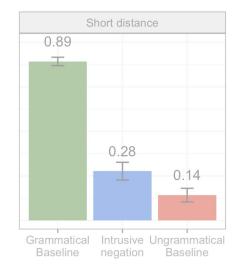
(10) The surgeons [that the patients trusted] have ever prescribed new experimental medications.



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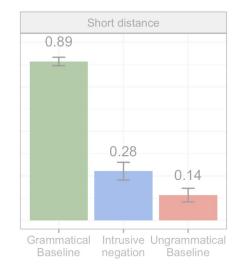
(9) The surgeons [that **no** patients trusted] have **ever** prescribed new experimental medications.

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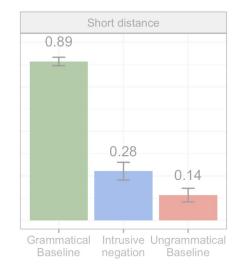
(11) **No** surgeons [that the patients have trusted] have healed any injuries with experimental medications.

(12) The surgeons [that **no** patients have trusted] have healed **any** injuries with experimental medications.



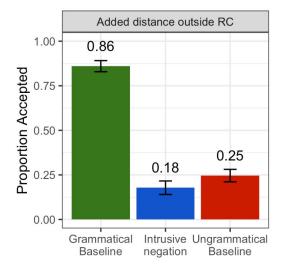
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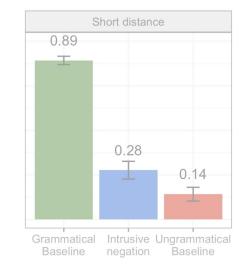
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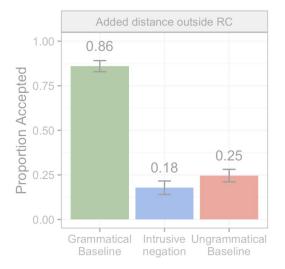
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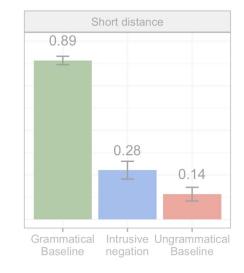




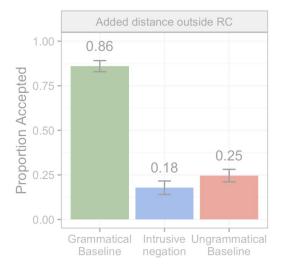
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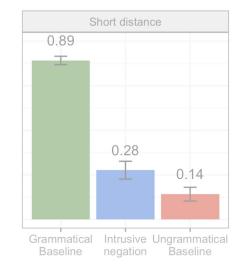
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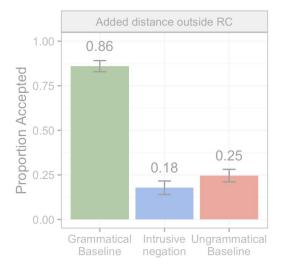


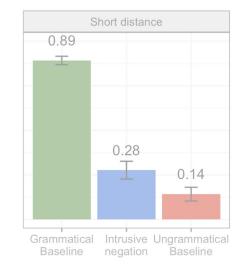
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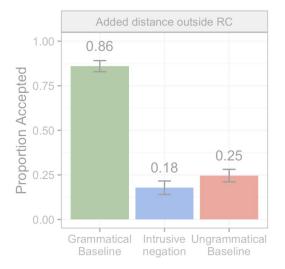
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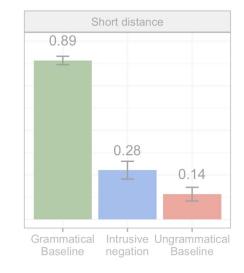




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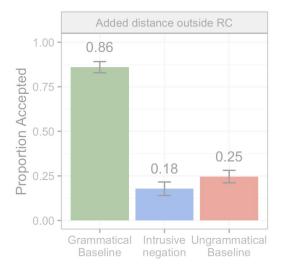


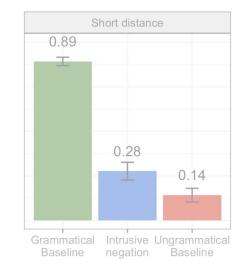


(14) No surgeons [that the patients trusted to heal their injuries] have ever prescribed ...

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(16) The surgeons [that the patients trusted to heal their injuries] have ever prescribed ...

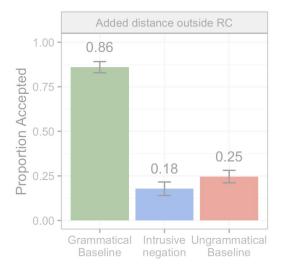


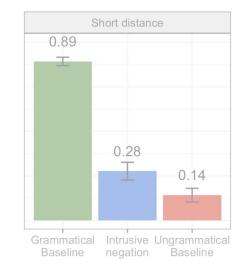


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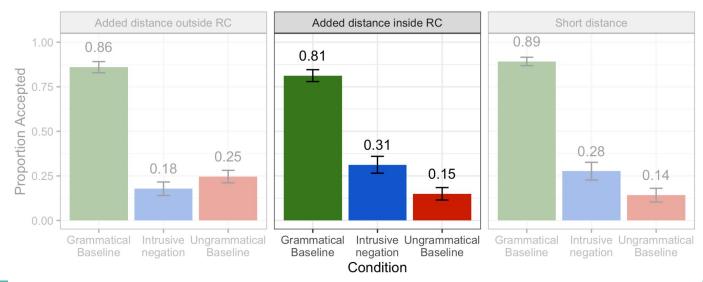




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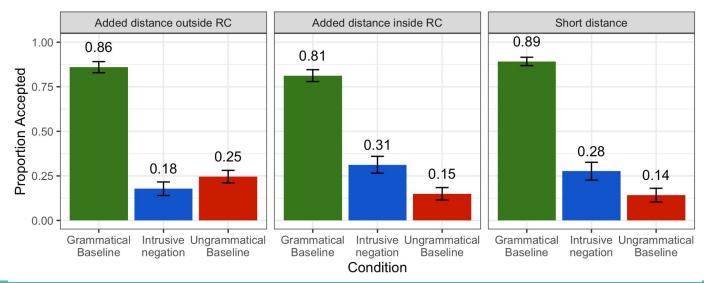
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(a) **No** surgeons [that the patients trusted <u>to heal their injuries</u>] have <u>verb</u> NPI ...

(b) The surgeons [that **no** patients trusted <u>to heal their injuries</u>] have <u>verb</u> NPI ...

(c) The surgeons [that the patients trusted <u>to heal their injuries</u>] have <u>verb</u> NPI ...



What causes the NPI illusion?

What is it about our *normal* processing of NPI-containing sentences that leads us astray in precisely the contexts where we get illusions?

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They can be licensed more easily/quickly if the appropriate scalar alternatives are constructed *before the NPI*

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NPIs seem to be licensed by the meanings of whole clauses

They can be licensed more easily/quickly if the appropriate scalar alternatives are constructed *before the NPI*

Clause boundaries create windows of vulnerability in which active alternatives don't seem to keep pace with parsing

The plan for today

- 1. Intro to linguistic illusions
- 2. Selective failures: the view from NPI illusions
 - a. NPI illusion basics
 - b. The licensor effect
 - c. The distance effect
- 3. How can we "turn off" the Moses illusion?
 - a. Item-wise variability
 - b. Correlates of vulnerability
 - c. Next steps

Moses illusions



What is the name of the holiday during which children dress up and walk from door to door to **give** candy?

- What kind of fruit is said to have fallen out of a tree, leading Newton to discover the laws of gravity?
- How many animals of each kind did **Moses** bring on the ark?

What is the name of the raised bumps on paper which enable **<u>deaf</u>** people to read?

50 stimuli drawn from prior literature

- What is the name of the holiday during which children dress up and walk from door to door to **give** candy?
- What is the name of the holiday during which children dress up and walk from door to door to **collect** candy?

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100 Mechanical Turk workers

2 part task:

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100 Mechanical Turk workers

2 part task: answer questions

50 stimuli drawn from prior literature

- What is the name of the holiday during which children dress up and walk from door to door to **give** candy?
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100 Mechanical Turk workers

2 part task: answer questions, then knowledge check



What is the name of the holiday during which children dress up and walk from door to door to give candy?

- I cannot answer
- I don't know



What is the name of the holiday during which children dress up and walk from door to door to give candy?

Halloween
I cannot answer
I don't know



The name of the holiday during which children dress up and walk from door to door to XXX candy is Halloween.

- steal
- offer
- collect
- give
- none of these
- I don't know

Moses illusions

What is the name of the raised bumps on paper which enable deaf people to read?

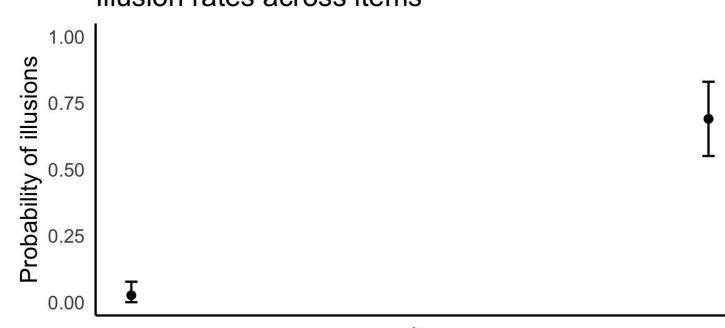
How did painter Vincent van Gogh lose his eye during his life?

Moses illusions

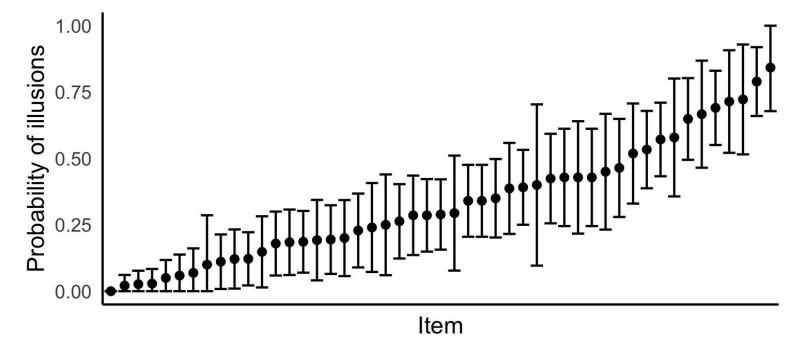
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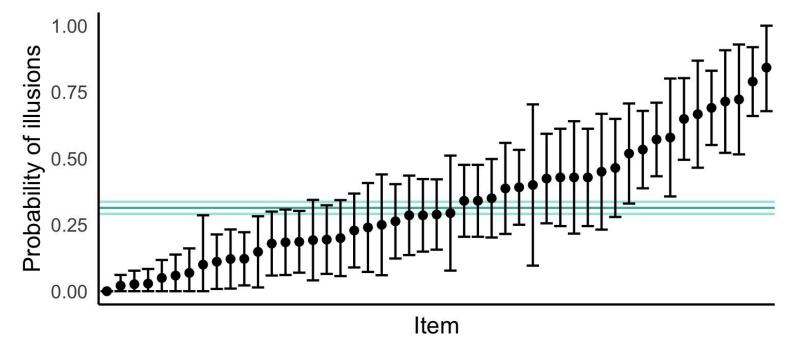




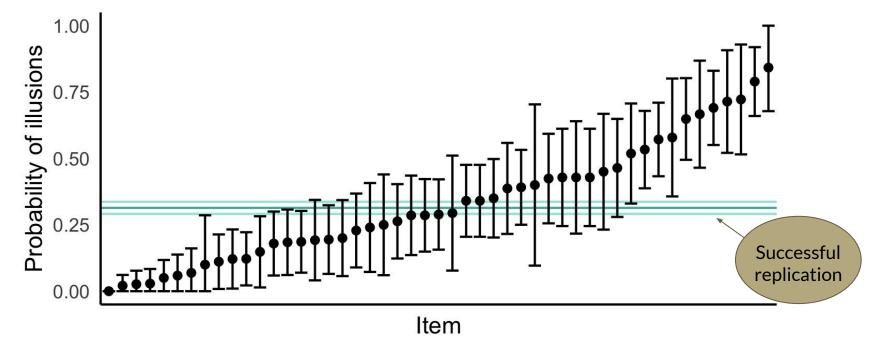




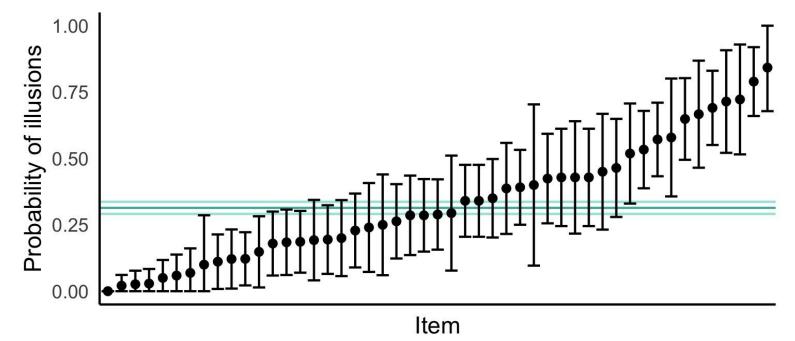






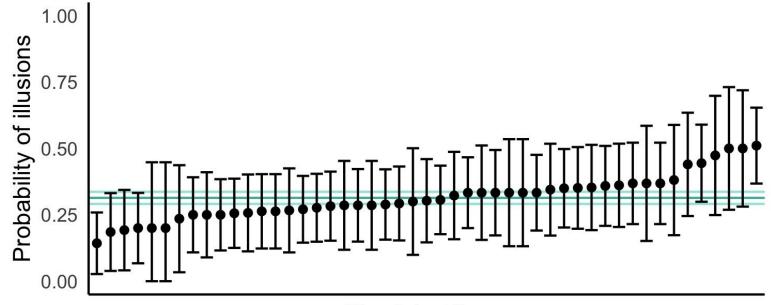






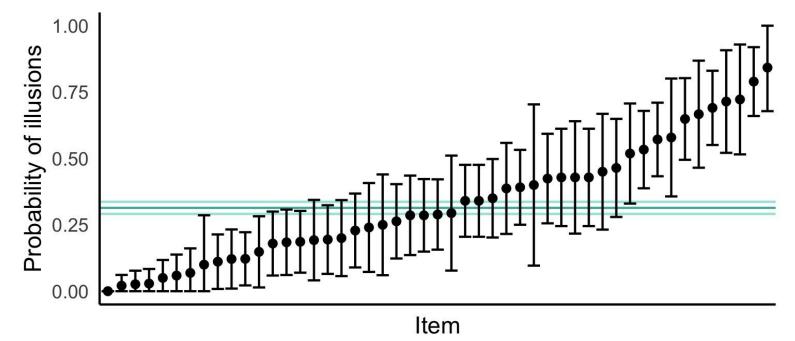


Illusion rates across random simulated items



Simulated items





- How many animals of each kind did **Moses** take on the ark?
- How many animals of each kind did **Nixon** take on the ark?

Words that are highly dissimilar to the word they're swapped in for are much less likely to yield illusions

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Does variability in word similarity accross our items explain the differing illusion rates?

Erickson & Mattson (1981), Van Oostendorp & De Mul (1990), Van Jaarsveld, Dijkstra, & Hermans (1997), Cook, Walsh, Bills, Kircher, & OBrien (2016)

Word2vec

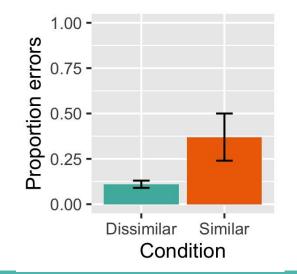
- Assign a vector to each word based on the distribution of contexts in which that word appears
- Words that appear in similar contexts are likely to be semantically similar

Cook, Walsh, Bills, Kircher, & OBrien (2016)

- Halloween is the holiday when children go door to door **giving out** candy and treats.
- Halloween is the holiday when children go door to door **stealing** candy and treats.

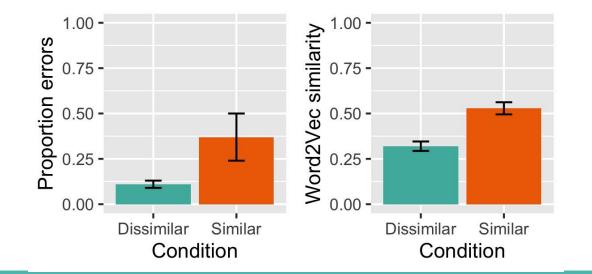
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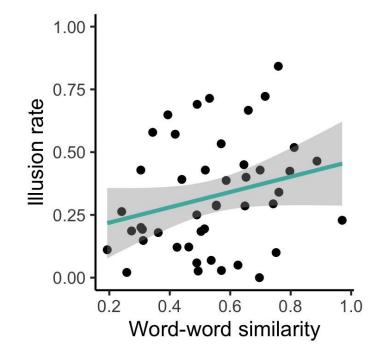


Cook, Walsh, Bills, Kircher, & OBrien (2016)

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Semantic similarity (word2vec)



For some items, you have a good idea about what the question is asking:

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For others, it's less clear:

What is the second largest ...

Items that allow the comprehender to infer the question before the anomaly may create vulnerability because you don't have to pay attention to the rest of the words in order to answer the question

Approximating the information state



Contemporary language models can generate believable sentences (using a lead-in sentence fragment)

Unclear whether the mechanisms they use are a reasonable approximation of human mechanisms, but their performance is a reasonable approximation of human-generated corpora

Language models have the key advantage that they don't just give you one predicted next word, they assign a probability to every word in the lexicon

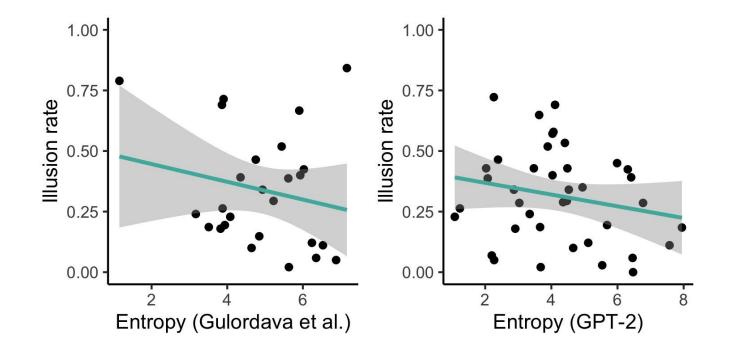
Language models

Entropy: higher means less certainty about what's coming next

So we expect that the stimuli with lower entropy (you have a good idea about what's coming next) will have higher illusion rates (because you know what's coming, you don't have to attend to it as much)

Two models: LSTM that has been used to approximate human language behavior, and GPT-2

Language model predictions

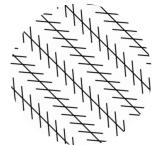


The basic phenomenon = The Moses Illusion

How many animals of each kind did Noah bring on the ark?

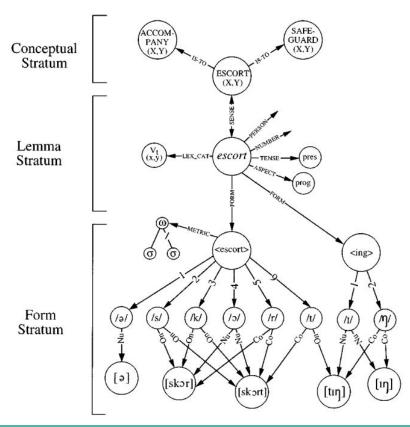
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What causes the Moses illusion?

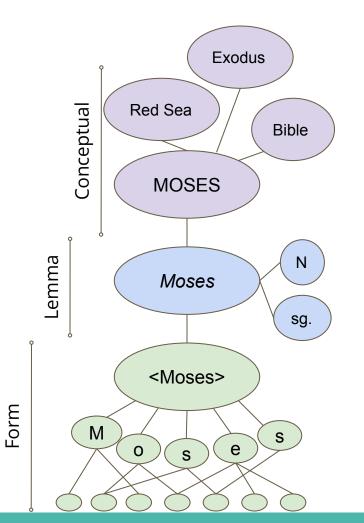


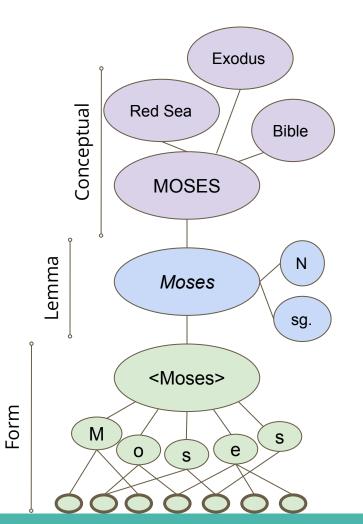
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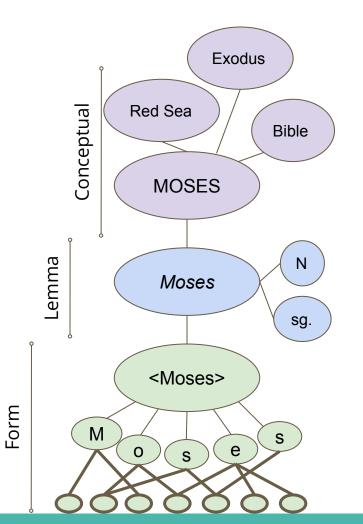
The lemma model

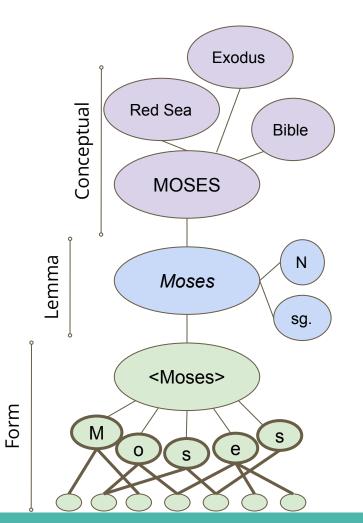


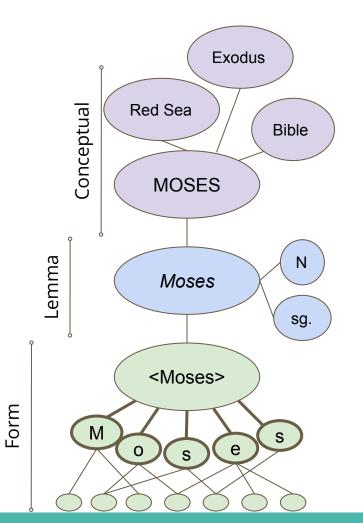
Levelt, Roelofs, & Meyer (1999)149

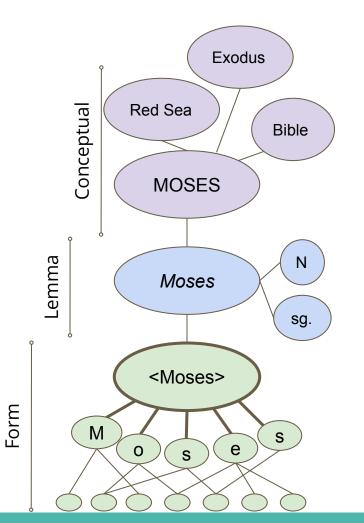


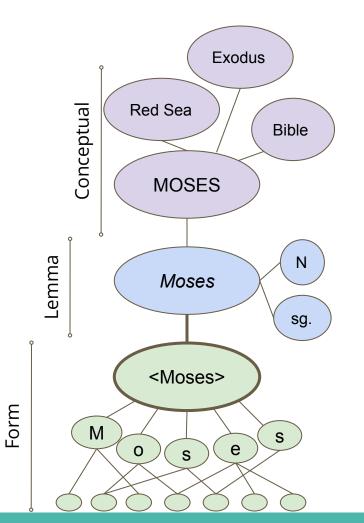


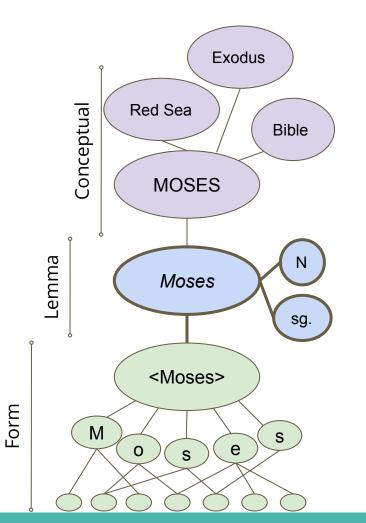


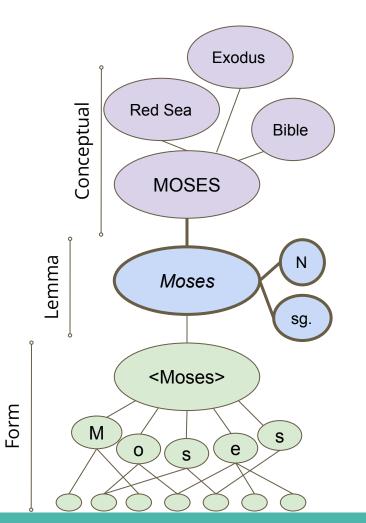


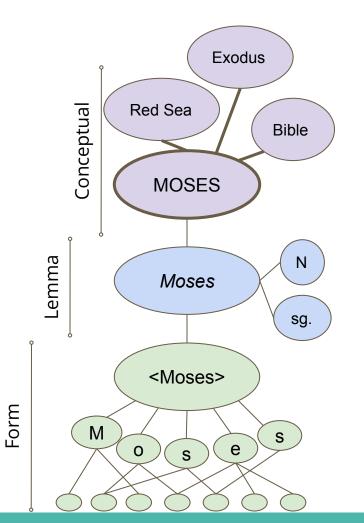


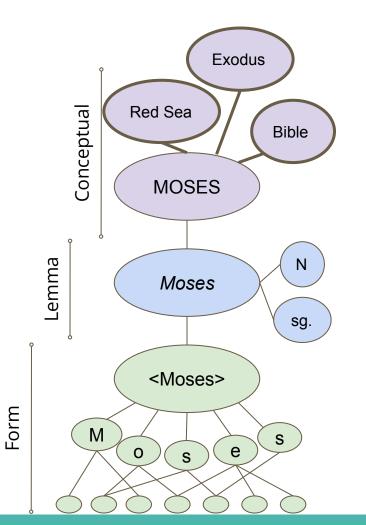


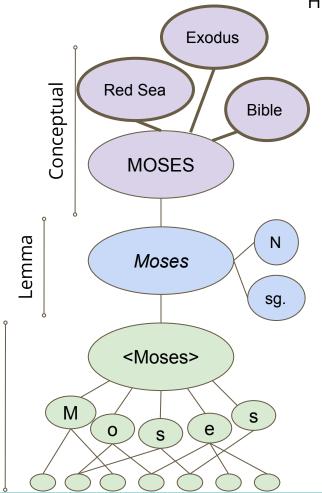






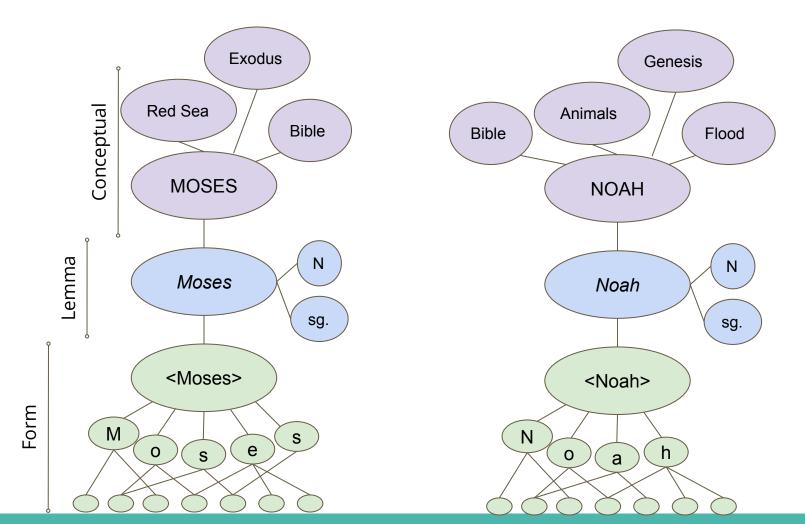


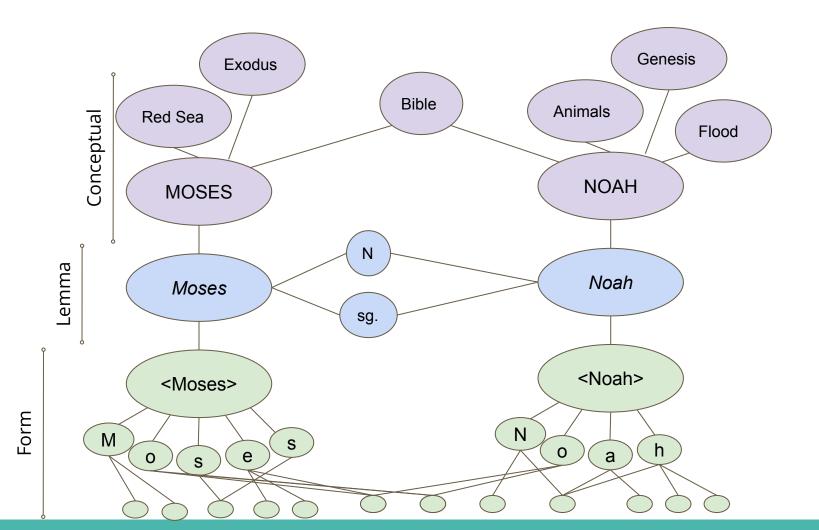


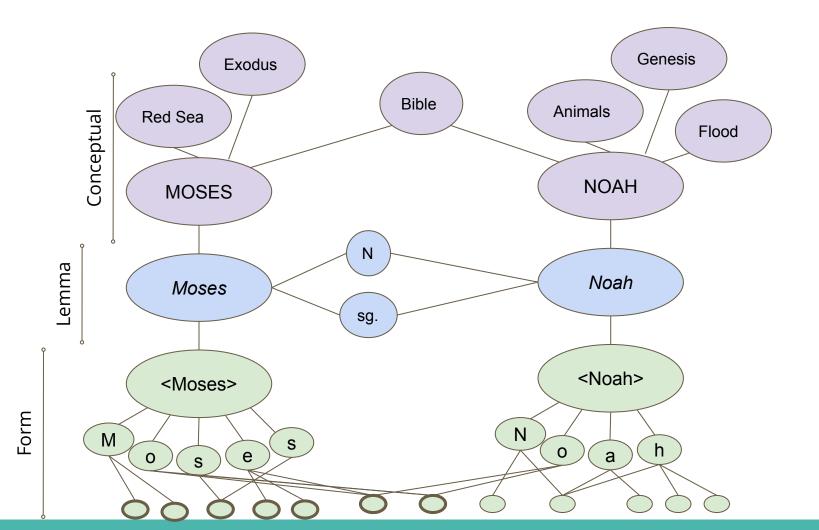


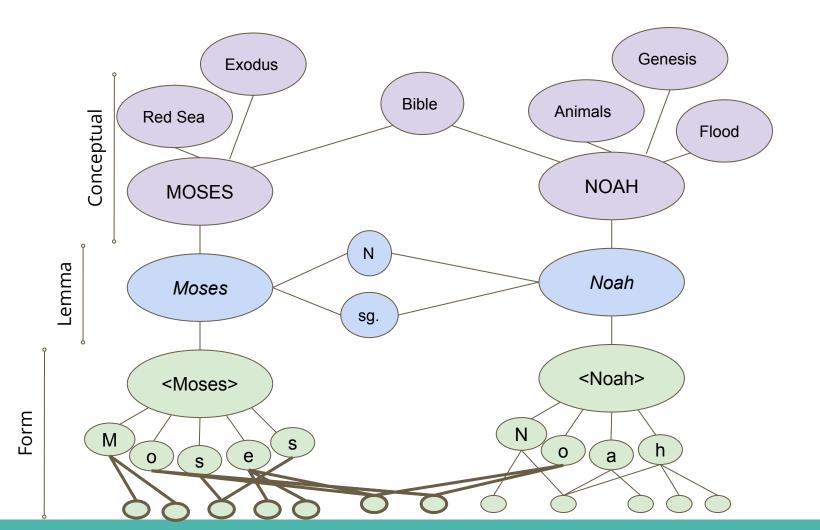
Form

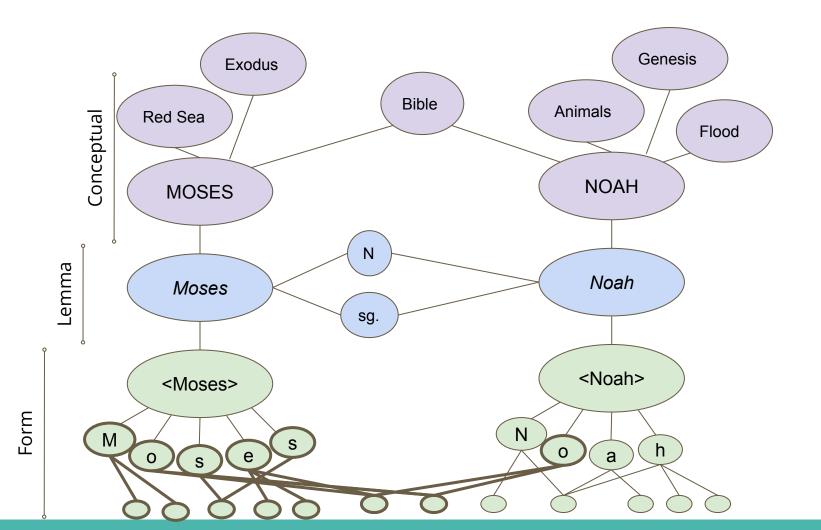
How many animals of each kind did Moses bring on the ark?

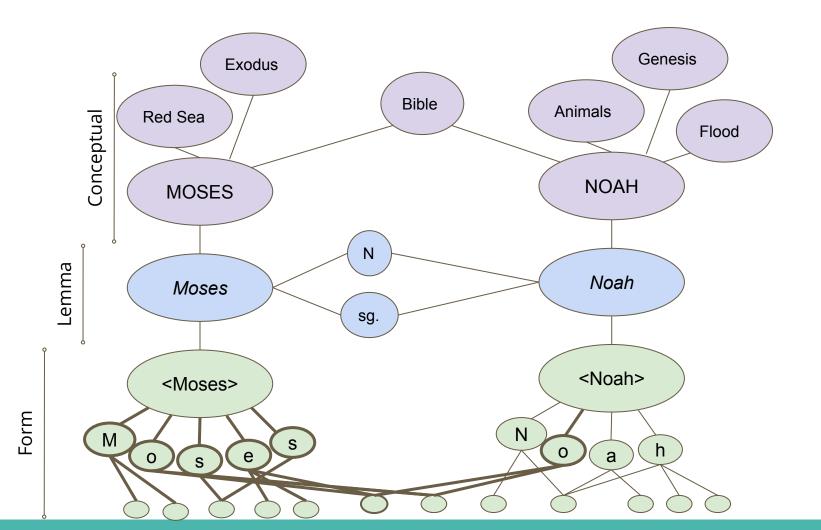


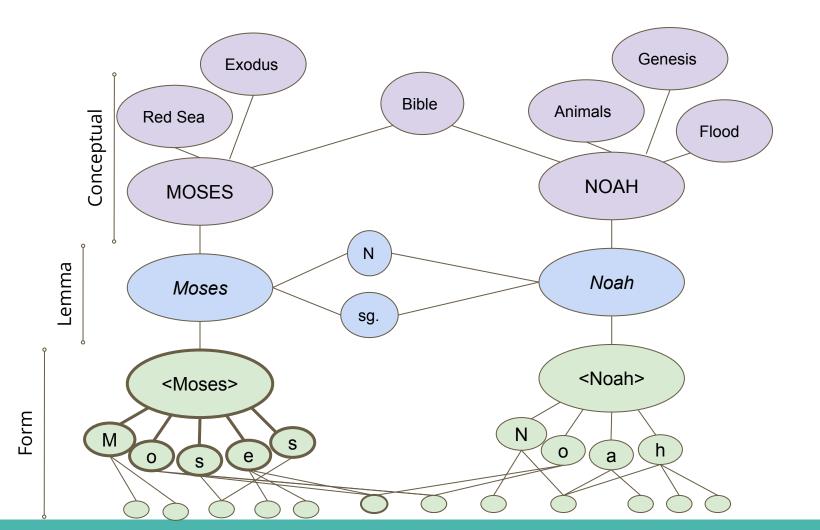


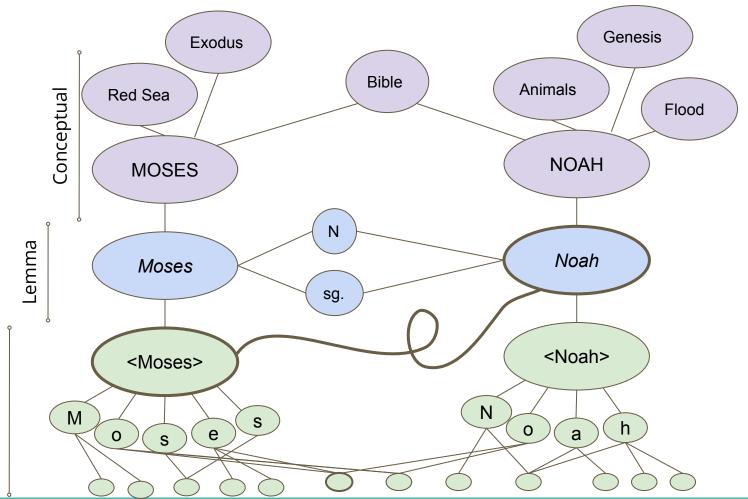


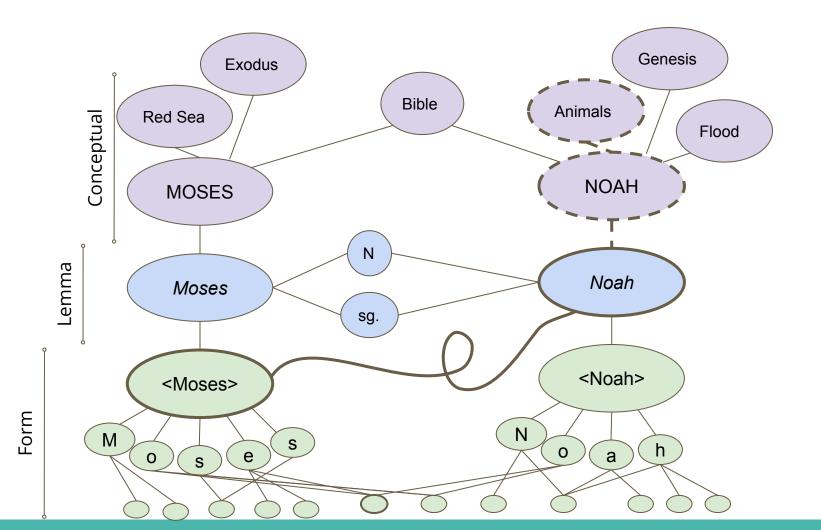


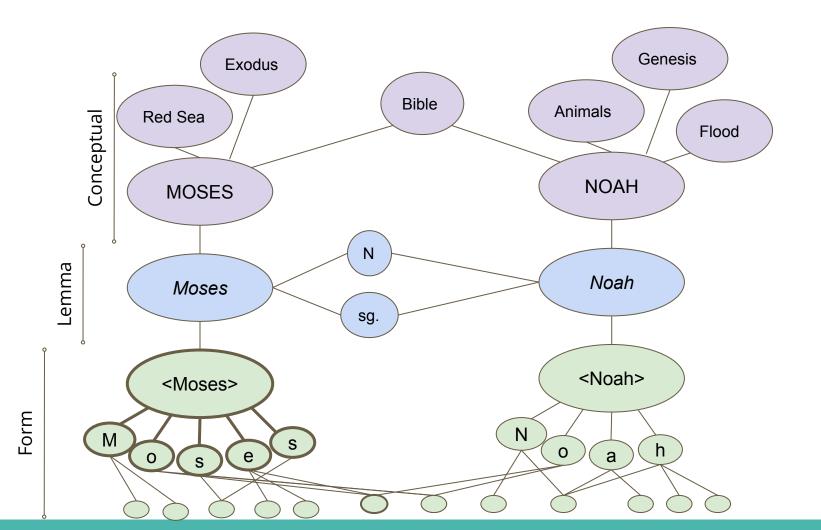


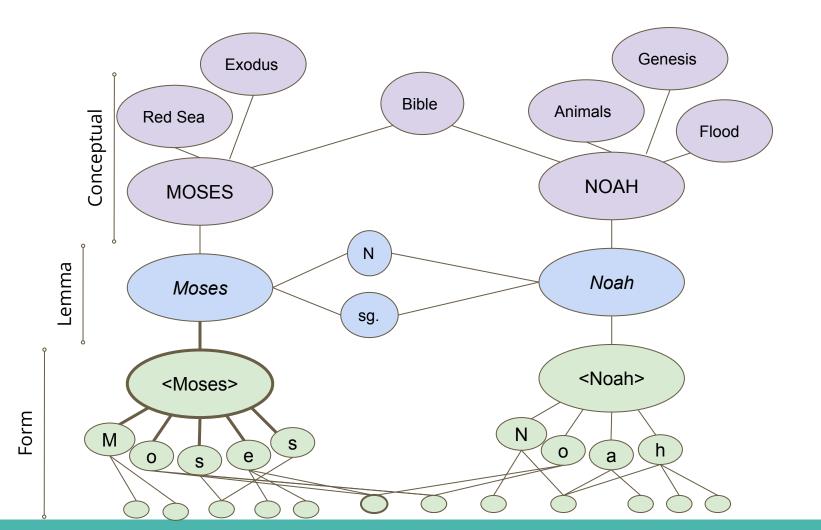


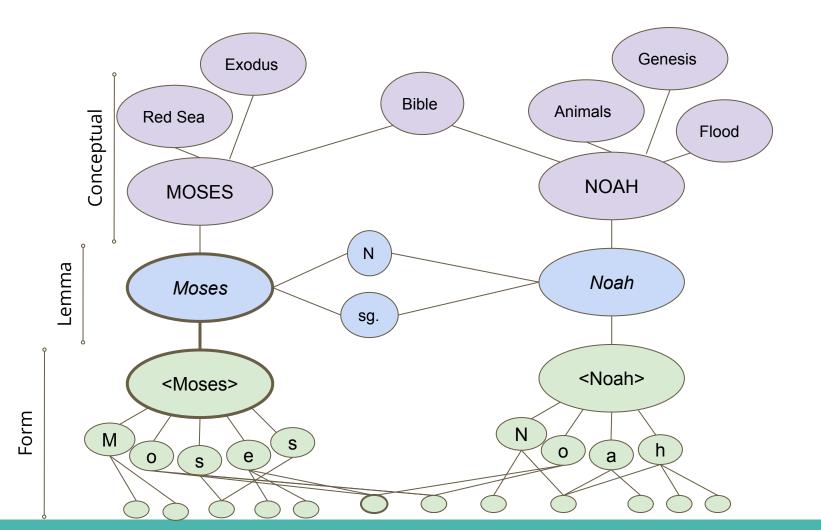


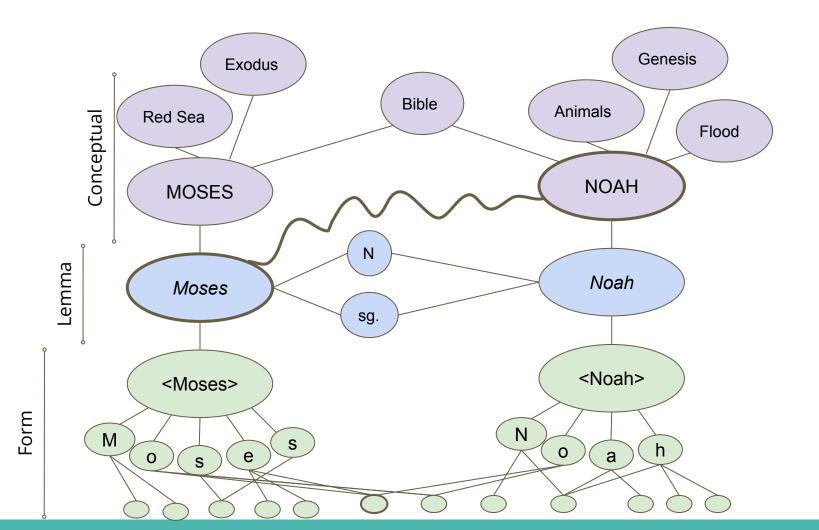


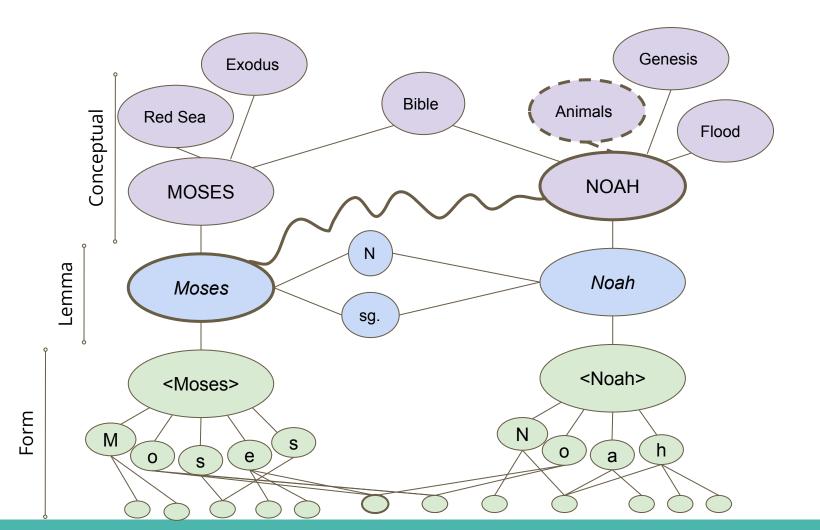


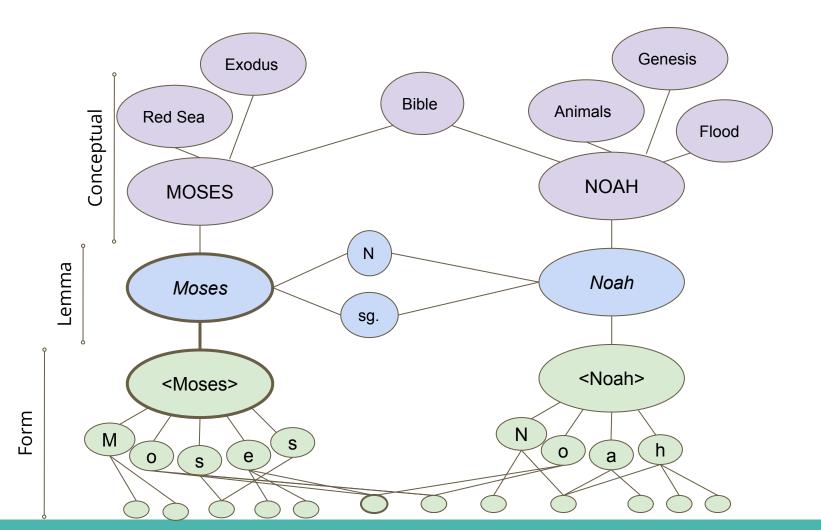


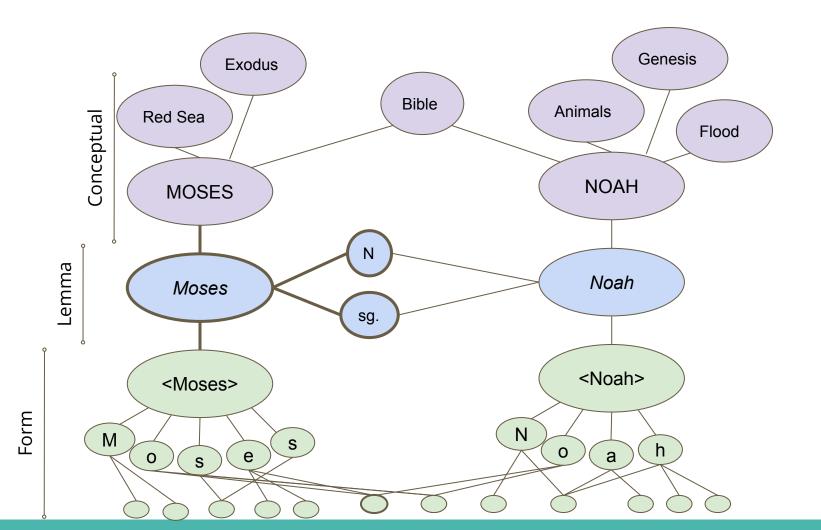


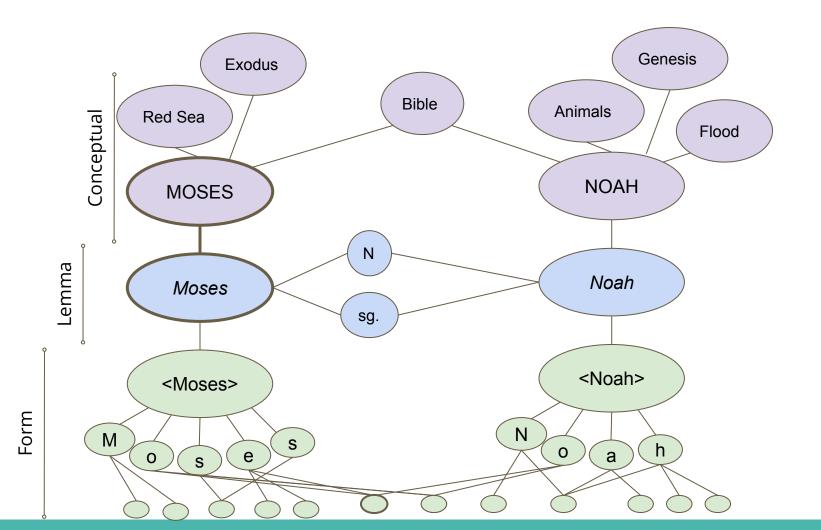


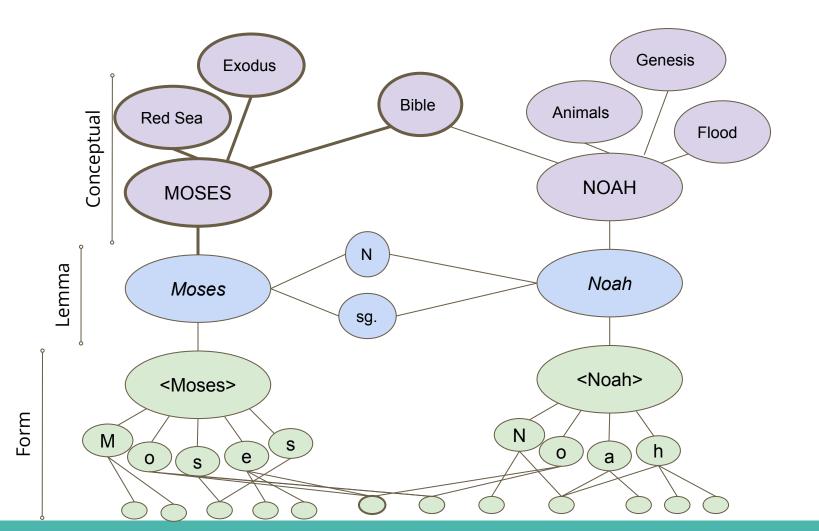


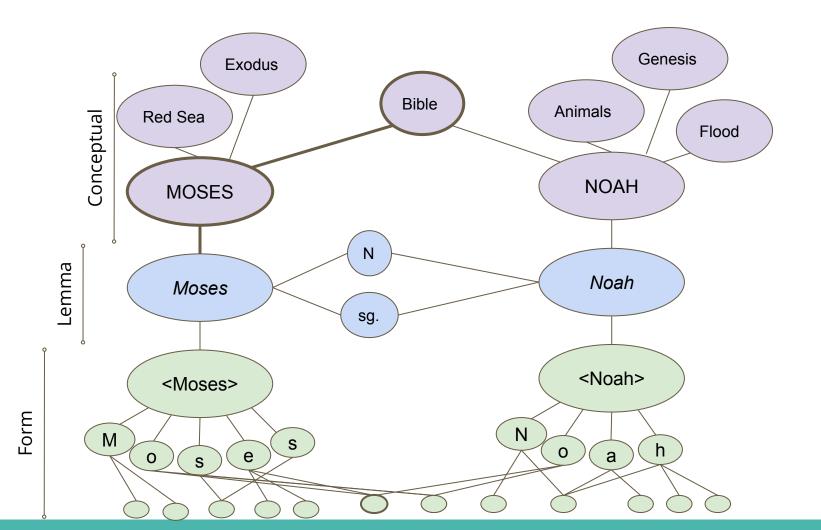












The basic phenomenon = The Moses Illusion

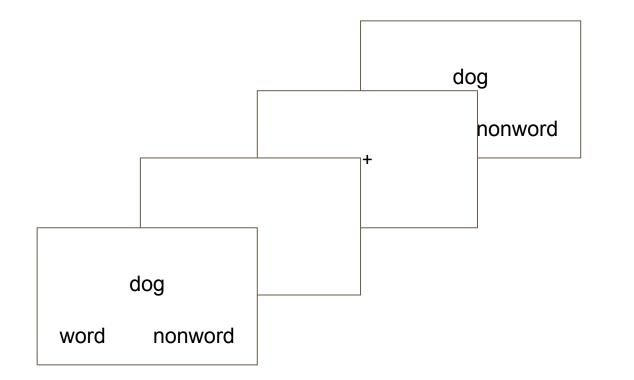
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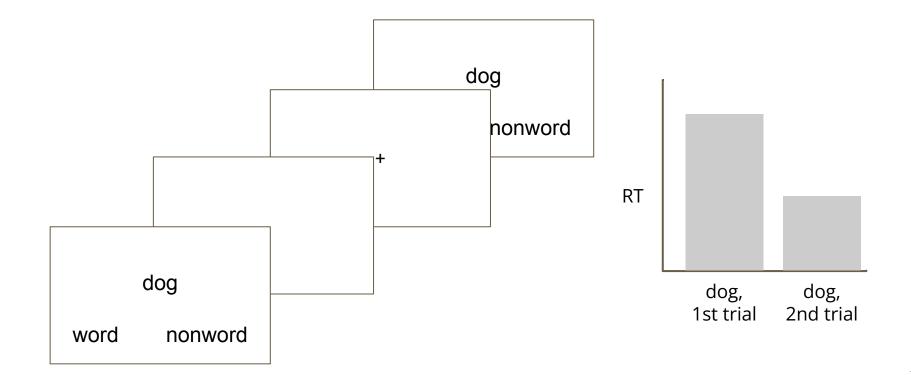
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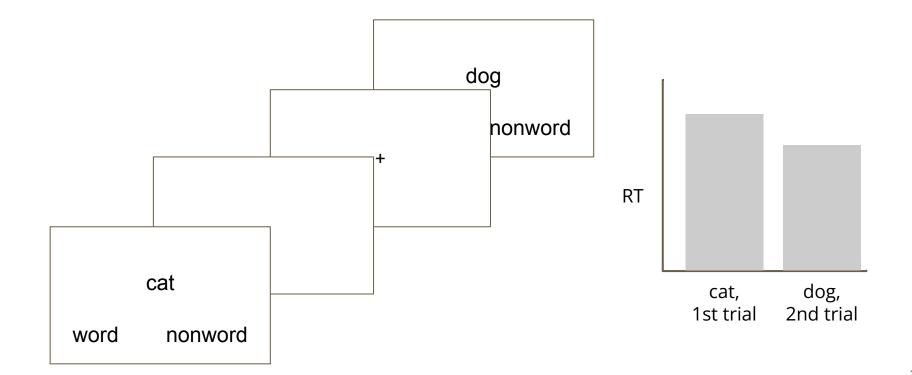
The basic phenomenon = The Moses Illusion

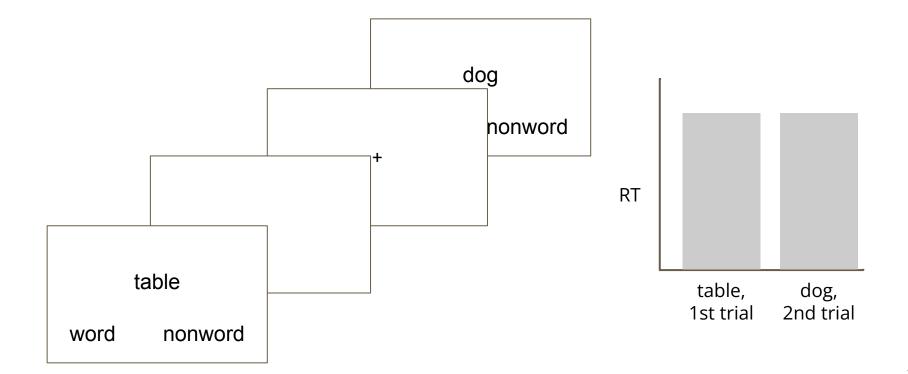
How many animals of each kind did [bible guy] bring on the ark?

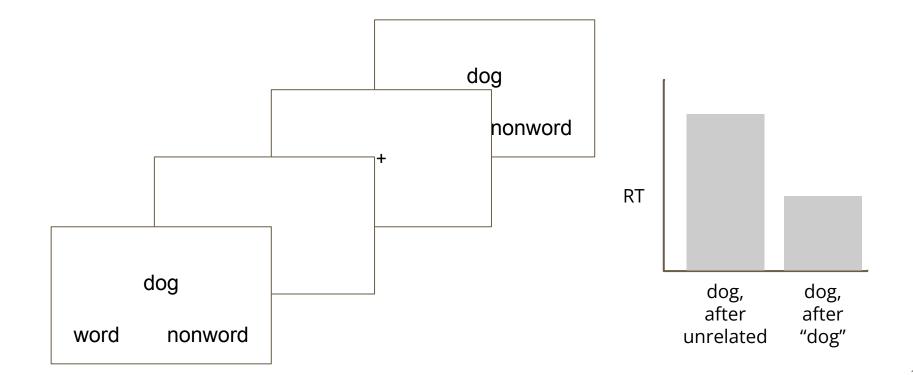
How many animals of each kind did Moses bring on the ark?

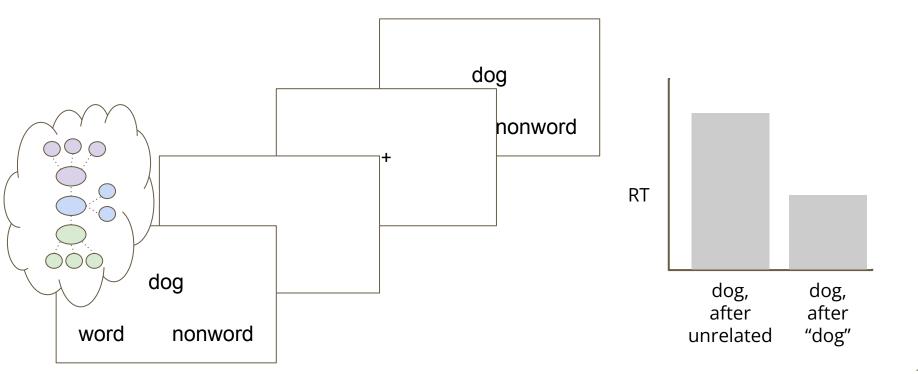


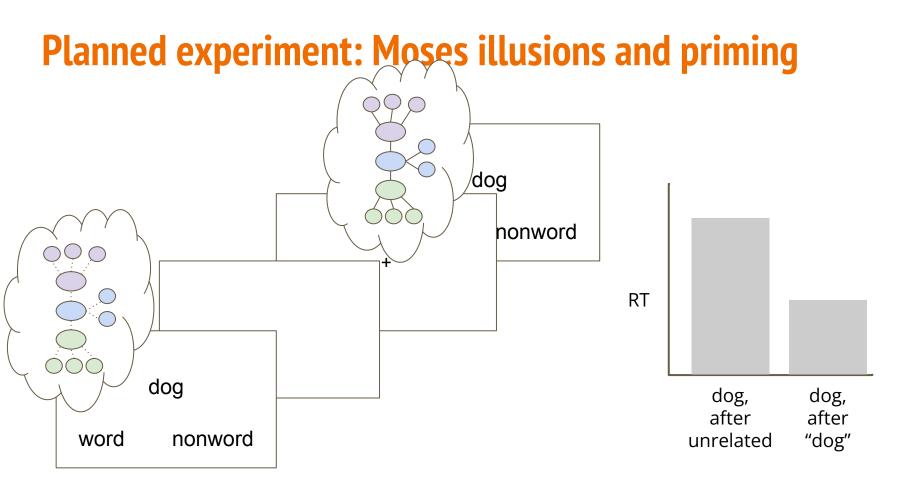


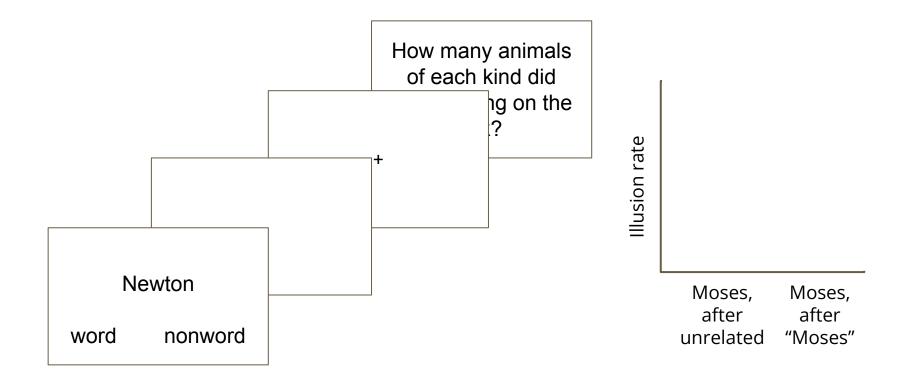


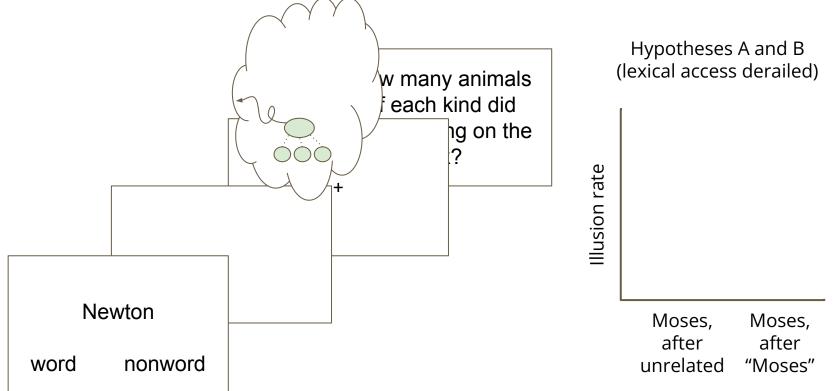


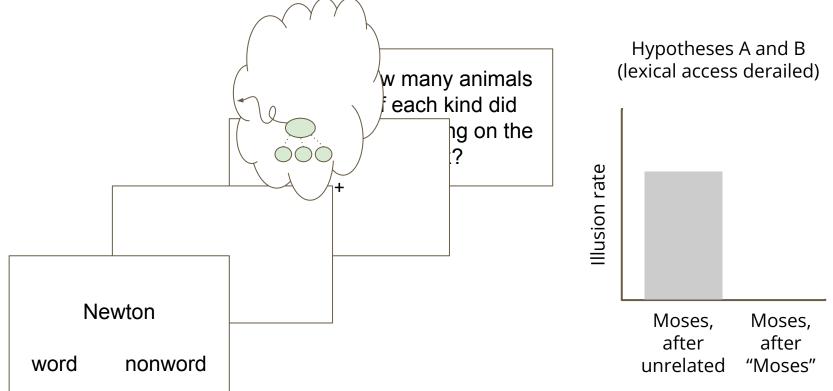


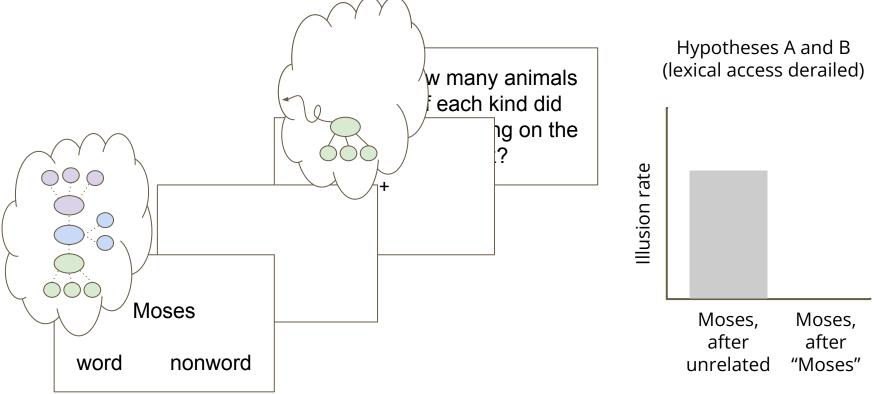


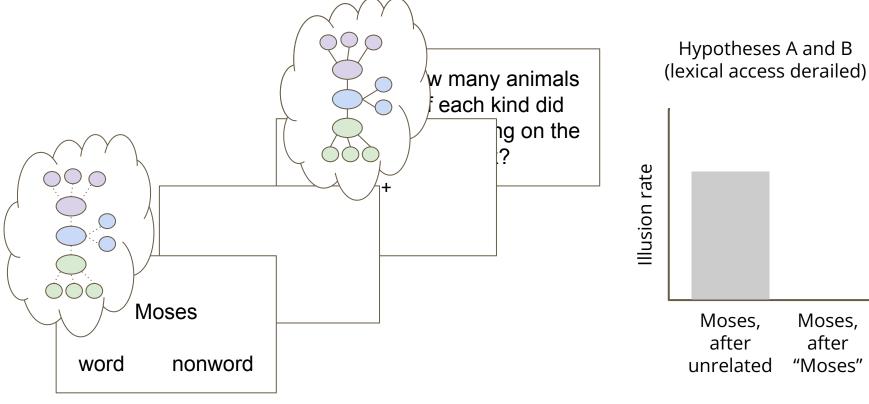








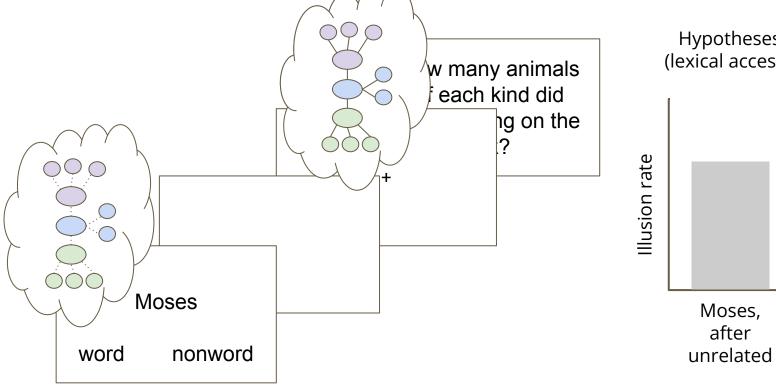




Moses,

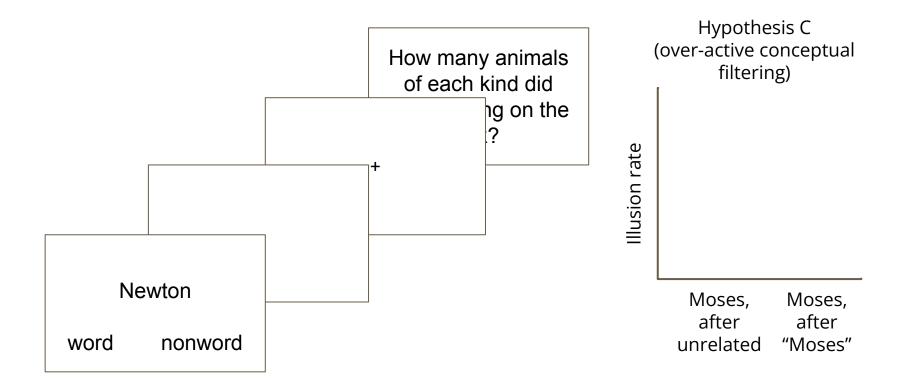
after

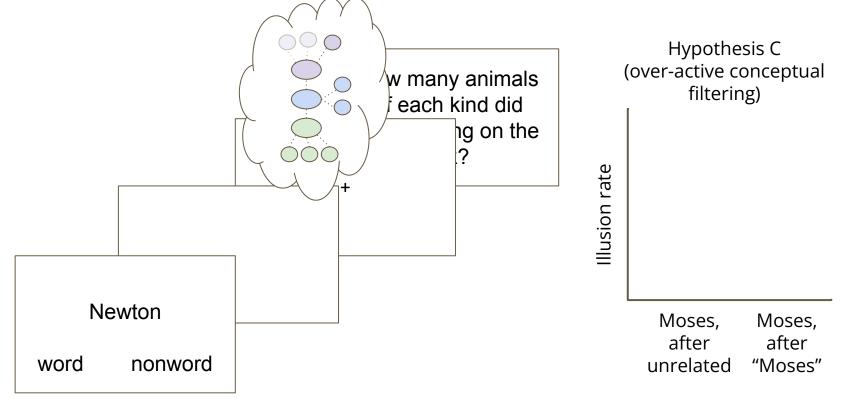
"Moses"

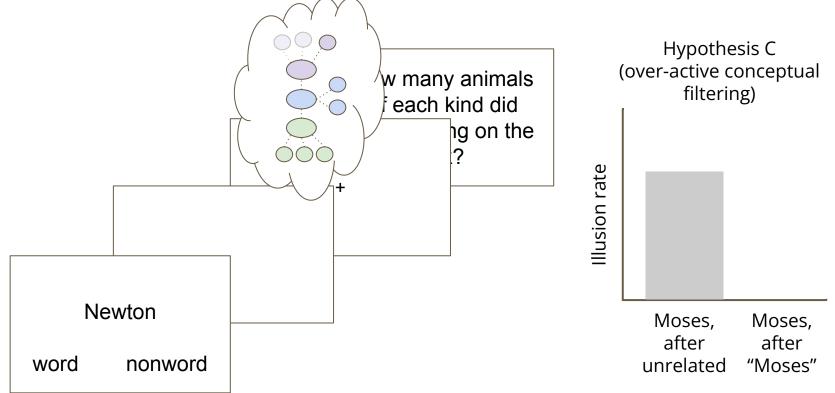


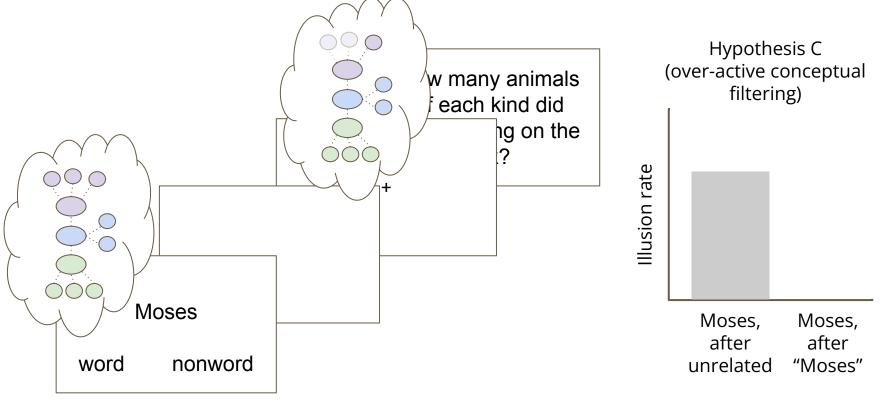
Hypotheses A and B (lexical access derailed)

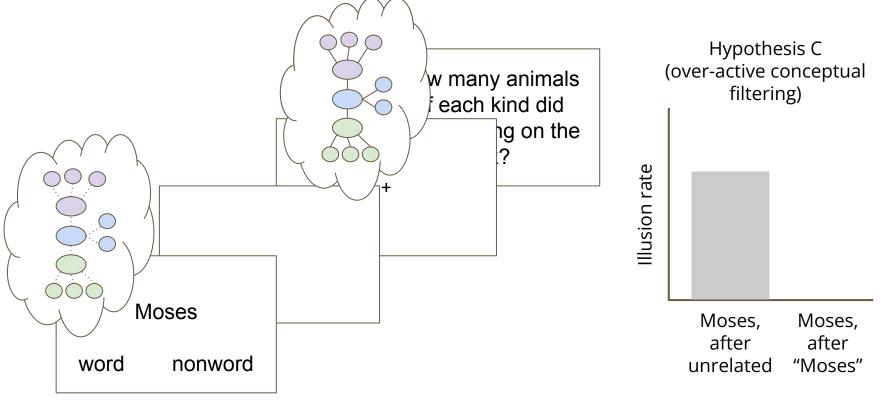
> Moses, after "Moses"

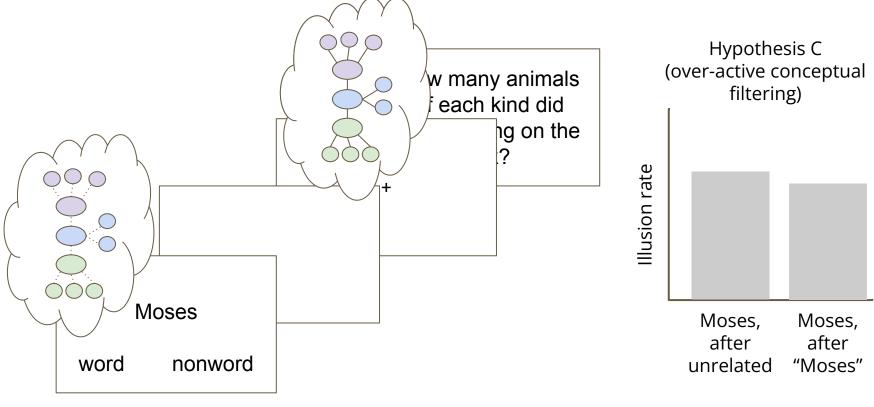












Stay tuned!

Thank you!

